

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yuichi SAWAI, et al.
Serial No.: Rule 1.53(b) Continuation of U.S. Patent Application
Serial No. 09/953,559 filed September 17, 2001
Filed: On Even Date Herewith
For: A FILM FOR ORGANIC EL DEVICE AND AN
ORGANIC EL DEVICE USING THE FILM
Art Unit: Unassigned
Examiner: Unassigned
Docket No.: 500.40666CX1

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 AND §1.98**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 19, 2003

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a List, on a form substantially equivalent to Form PTO-1449, of documents cited in connection with a prior application of the above-identified application, Application No. 09/953,559, filed September 17, 2001.

Since Application No. 09/953,559 is being relied upon under 35 USC § 120 in the above-identified application, copies of the listed documents are not enclosed.
See 37 CFR § 1.98(d).

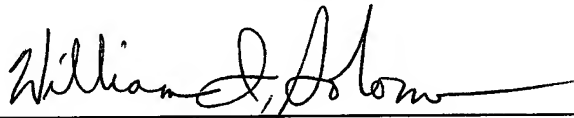
This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified application. Accordingly, requirements of 37 CFR 1.97(b) are clearly satisfied.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR § 1.97 and 1.98 have been satisfied in connection with present submission of the enclosed List. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (500.40666CX1) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

A handwritten signature in black ink, appearing to read "William I. Solomon", is written over a horizontal line.

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WIS:nbf

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	
		Filing Date	On Even Date Herewith
		First Named Inventor	SAWAI, et al.
		Prior Art Unit	1774
Sheet 1 of 1	Prior Examiner Name	Dawn L. Garrett	
	Attorney Docket Number	500.40666CX1	

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US- 5,451,459		09/1995	Ogawa et al.	
		US- 5,977,241		11/1999	Koloski et al.	
		US- 5,068,884		05/2000	Rose et al.	
		US- 6,268,695		07/2001	Affinito	
		US- 6,413,645		07/2002	Graff et al.	
		US- 6,492,026		12/2002	Graff et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Country	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					
		JP 1180934 A (+ Abstract)		03.26.1999	Japan		
		JP 11332979 (+ Abstract)		12.07.1999	Japan		
		JP 05-051460		03.2.1993	Japan		

OTHER DOCUMENTS	
European Search Report , European Application No. EP 03 00 4933, dated May 21, 2003	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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